Grant Opportunity Guidelines

Inspiring Australia – Science Engagement Program: The Prime Minister's Prizes for Science 2025 – Science Prizes

Nomination Stage 1 opening date:	9.00am AEDT on 9 October 2024
Nomination Stage 1 closing date and time:	5.00pm AEDT on 17 December 2024
date and time.	Please take account of time zone differences when submitting the nomination.
Nomination Stage 2 opening date:	9.00am AEDT 19 March 2025
Nomination Stage 2 closing	5.00pm AEST on 9 April 2025
date and time:	Please take account of time zone differences when submitting the nomination.
Commonwealth policy entity:	Department of Industry, Science and Resources (DISR)
Administering entity:	Department of Industry, Science and Resources (DISR)

Enquiries:	If you have any questions, contact us on 13 28 46
Date guidelines released:	9 October 2024
Type of grant opportunity:	Open competitive

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Inspiring Australia – Science Engagement Program: The Prime Minister's Prizes for Science 2025 – Science Prizes processes

The Inspiring Australia – Science Engagement Program is designed to achieve Australian Government objectives.

This grant opportunity is part of the above grant program which contributes to the Department of Industry, Science and Resources' (the department) Outcome One: Support economic growth, productivity and job creation for all Australians by investing in science and technology, growing innovative and competitive businesses, industries and regions, and supporting a strong resources sector. The department works with stakeholders to plan and design the grant program according to the Commonwealth Grants Rules and Principles (CGRPs).



Stage 1 nominations open.

We publish the grant guidelines on business.gov.au and GrantConnect.



The nominator completes and submit a Stage 1 nomination via the nomination form.

The nominator submits a nomination form on behalf of a nominee addressing all eligibility and assessment criteria including providing the contact details of the nominee, two supporters and two independent referees.



We assess all nominations.

We assess the nominations against eligibility criteria. An independent committee assesses eligible nominations against the assessment criteria for each prize and compares it with other eligible nominations.



Eligible and competitive nominations are shortlisted and invited to Stage 2.

If the nomination has been shortlisted, we will invite the nominator and the two supporters from the original nomination to prepare a more detailed nomination addressing the assessment criteria.



Stage 2 nominations are sent to referees.

We refer the nominator and supporter Stage 2 statements to the independent referees (two provided by the nominator and one identified by the committee) with relevant expertise to seek their professional opinions on the claims made in the nominations.



Stage 2 nominations are assessed and prize recipients are recommended.

The committee assesses Stage 2 nominations and recommends prize recipients to the decision maker.



Final decisions are made.

The decision maker decides which nominations are successful.



We notify you and the nominee of the outcome.

We advise the outcome of the nomination. Prize recipients will be notified under embargo, in writing and invited to receive their awards at the Prime Minister's Prizes for Science awards event.

1.1. Introduction

These guidelines contain information for the Prime Minister's Prizes for Science 2025 – Science Prizes.

This document sets out:

- the purpose of the grant opportunity
- the eligibility and assessment criteria
- how we consider and assess nominations
- how we may notify nominees
- responsibilities and expectations in relation to the opportunity.

This grant opportunity and process will be administered by the Department of Industry, Science and Resources (the department/DISR).

We have defined key terms used in these guidelines in the glossary.

The nominator should read this document carefully before completing a nomination.

We will publish the <u>opening and closing dates</u> and any other relevant information on <u>business.gov.au</u> and <u>GrantConnect</u>.

2. About the grant program

The Inspiring Australia - Science Engagement Program (the program) provides funding for a range of initiatives delivered under the Inspiring Australia banner.

The program contributes to the Government's vision for an Australian society engaged in and enriched by science. Through this program and other science engagement activities, the Government aims are:

- increasing Australian community engagement with the sciences, through activities and events
- increasing positive public sentiment and awareness of the contribution and achievements of Australian scientists and innovators
- improving communication between Australian scientists, the general public, business and government
- enhancing focus on building skills and capability in science, technology, engineering and mathematics (STEM) and digital literacy, in Australian schools and communities
- increasing engagement and participation of a diverse range of Australians, especially those historically under-represented in STEM.

We administer the program according to the <u>Commonwealth Grants Rules and Principles</u> (<u>CGRPs</u>).

2.1. About the Prime Minister's Prizes for Science grant opportunity

The Prime Minister's Prizes for Science is an ongoing element of the program. There are two grant opportunities for this program, the Science Prizes and the Science Teaching Prizes.

The Prime Minister's Prizes for Science are Australia's most prestigious and highly regarded awards for achievements in scientific research, research-based innovation, and excellence in science teaching.

The Prime Minister's Prizes for Science recognise the achievements and success of Australian scientists and innovators, and benefits to both Australia and the world. The prizes also recognise

the critical role science educators play in inspiring and encouraging students to take an interest in science and consider science as a career.

We strongly encourage nominations of diverse candidates from backgrounds as described in the glossary.

Through the program, the Prime Minister's Prizes for Science will award up to \$1.15 million each year for achievements in science, research-based innovation and excellence in science teaching.

There are five prizes for science and innovation (referred to as the Science Prizes), two prizes for science teaching (referred to as the Science Teaching Prizes).

Science Prizes

- Prime Minister's Prize for Science (\$250,000)
- Prime Minister's Prize for Innovation (\$250,000)
- Malcolm McIntosh Prize for Physical Scientist of the Year (\$50,000)
- Frank Fenner Prize for Life Scientist of the Year (\$50,000)
- Prize for New Innovators (\$50,000).

Science Teaching Prizes

- Prime Minister's Prize for Excellence in Science Teaching in Primary Schools (\$250,000)
- Prime Minister's Prize for Excellence in Science Teaching in Secondary Schools (\$250,000).

2.2. About the Science Prizes grant opportunity

These guidelines contain information for the Prime Ministers Prizes 2025 - Science Prizes. The nomination process for each prize involves two stages.

Stage 1: the nominator completes a nomination form addressing all eligibility and assessment criteria relevant to the prize they are nominating for. The nominator will also need to provide the contact details of two supporters and two independent referees who have agreed to provide their informed opinions.

Stage 2: where a nominee has been shortlisted, we invite the nominator and two supporters to prepare a more detailed nomination.

2.3. Overview of the Science Prizes

We award the Science Prizes for achievements in science and research-based innovation.

2.3.1 Prime Minister's Prize for Science

We award the Prime Minister's Prize for Science for a significant advancement of knowledge through science. It is awarded to one individual or one team of up to four individuals if the achievement is the result of a collaborative team effort.

2.3.2 Prime Minister's Prize for Innovation

The Prime Minister's Prize for Innovation is awarded for the innovative translation of scientific knowledge into a commercially available product, service or process that has had economic, social and, where relevant, environmental benefits. It is awarded to one individual or one team of up to four individuals if the achievement is the result of a collaborative team effort.

2.3.4 Malcolm McIntosh Prize for Physical Scientist of the Year and Frank Fenner Prize for Life Scientist of the Year

The Malcolm McIntosh Prize for Physical Scientist of the Year and Frank Fenner Prize for Life Scientist of the Year each recognise an exceptional achievement in science that benefits, or has the potential to benefit, human welfare or society by early to mid-career scientists. We award each prize to one individual who has realised the nominated research achievement and been nominated within 10 years, full-time or full-time equivalent (FTE), of completing their highest relevant tertiary qualification.

The research achievement can include research conducted as part of studies leading to the award of the nominee's highest relevant tertiary qualification.

2.3.5 Prize for New Innovators

The Prize for New Innovators recognises early achievement towards partial or full commercialisation or translation of scientific research with economic, social and, where relevant, environmental benefits. The commercialisation or translation of scientific research can be demonstrated by either advancement towards a commercial or translational outcome or by the actual attainment of a commercial or translational outcome itself.

We will consider the innovation, underlying research and trial pathway as equally important. The innovation must, or have the potential to, generate measurable revenue or measurable socio-economic benefit.

We award the prize to one individual who has achieved or made achievements towards commercialising or translating their research outcomes and been nominated within 10 years, full time or FTE, of completing their highest relevant tertiary qualification.

2.4. Fields of science covered by the Science Prizes

The Science Prizes are awarded for achievement in any of the following fields, as defined in the <u>Australian and New Zealand Standard Research Classifications 2020</u>:

Division 30	Agricultural, Veterinary and Food Sciences
Division 31	Biological Sciences
Division 32	Biomedical and Clinical Sciences
Division 34	Chemical Sciences
Division 37	Earth Sciences
Division 40	Engineering
Division 41	Environmental Sciences
Division 42	Health Sciences
Division 45	Indigenous studies, Group 4506 Aboriginal and Torres Strait Islander sciences
Division 45	Indigenous studies, Group 4517 Pacific Peoples sciences
Division 46	Information and Computing Sciences
Division 49	Mathematical Sciences
Division 51	Physical Sciences.

3. Prize amount

Through the program, the Prime Minister's Prizes for Science will award up to \$1.15 million in 2025 for achievements in science, research-based innovation and excellence in science teaching.

3.1. Prizes available

For the Science Prizes grant opportunity, the grant will be up to \$650,000 in prize money as described below:

Science Prizes

- Prime Minister's Prize for Science (\$250,000)
- Prime Minister's Prize for Innovation (\$250,000)
- Malcolm McIntosh Prize for Physical Scientist of the Year (\$50,000)
- Frank Fenner Prize for Life Scientist of the Year (\$50,000)
- Prize for New Innovators (\$50,000).

Each prize recipient receives a medallion, lapel pin, award certificate and prize money. Where we award the prize to a team, each member will receive a medallion, lapel pin, award certificate and an equal portion of the prize money.

4. Eligibility criteria

We cannot consider nominations that do not satisfy all eligibility criteria.

4.1. Who is eligible?

To be eligible for a Science Prize, a nominee must:

- be an Australian citizen or permanent resident of Australia (nominees currently living or studying overseas are not precluded from being nominated)
- not be self-nominated
- not be nominated in more than one prize category. Where a nominee is nominated in more than one category, we will ask the nominee to select a single nomination to pursue. This will occur at Stage 1.

Previous nominees are eligible for renomination subject to meeting relevant eligibility criteria, submitting a new nomination form and the nominator and supporters providing evidence of any relevant achievements. Different individuals may nominate and support the nominee where appropriate.

Past recipients of the Australia Prize (awarded from 1990-1999) are eligible for nomination.

Prize nominees do not need to be a member of a professional association or to have received any prior award to be eligible.

Additional eligibility criteria for each prize are listed below.

4.2. Who is not eligible?

Past recipients of the Prime Minister's Prize for Science or the Prime Minister's Prize for Innovation are not eligible to be considered for another award.

Past recipients of any other of the Science Prizes may only be nominated for the Prime Minister's Prize for Science or the Prime Minister's Prize for Innovation.

4.3. Additional eligibility requirements

4.3.1 Prime Minister's Prize for Science and Prime Minister's Prize for Innovation

To be eligible for the Prime Minister's Prize for Science or the Prime Minister's Prize for Innovation, a nominee must also:

- be an individual or a member of a team with up to four individuals
- hold an active position in research, academia or the private sector.

At least one of the referees selected must be based overseas.

Where a team is nominated, all individuals must meet the eligibility criteria and demonstrate a collaboration for the nomination to be eligible. We cannot award the prize to a group of individuals who have produced recognised research or research impacts in the same field, but who did not work collaboratively to achieve them.

4.3.2 Malcolm McIntosh Prize for Physical Scientist of the Year and Frank Fenner Prize for Life Scientist of the Year

To be eligible for the Malcolm McIntosh Prize or the Frank Fenner Prize, a nominee must also:

- be an individual
- have realised their nominated research achievements and be nominated within 10 years (full-time or FTE) of completing their highest relevant tertiary qualification
- have completed at least four years (full-time or FTE) of research in Australia.

The research achievement can include work done as part of relevant academic studies.

A nominee may have had career interruption/s (see glossary) since completing their highest relevant tertiary qualification. In these situations, the 10 year (full-time or FTE) requirement is extended by the length of the career interruption/s. For example, a nominee experiencing career interruptions totalling two years will be eligible to be nominated within 12 years since completing their highest relevant tertiary qualification.

4.3.4 Prize for New Innovators

To be eligible for the Prize for New Innovators, a nominee must also:

- be an individual
- have made significant achievement towards the commercialisation or translation of their scientific research outcomes and be nominated within 10 years (FTE) of completing their highest relevant tertiary qualification
- have completed at least two years (full-time or FTE) of research in Australia.

Nominees who demonstrate partial or full translation or commercialisation of scientific research outcomes are eligible for this prize. Progress towards commercialisation or translation can include work undertaken as part of relevant academic studies.

A nominee may have had career interruption/s (see glossary) since completing their highest relevant tertiary qualification. In these situations, the 10 years (FTE) requirement is extended by the length of the career interruption/s. For example, a nominee experiencing career interruptions totalling two years will be eligible to be nominated within 12 years since completing their highest relevant tertiary qualification.

4.4. Who is eligible to be a nominator?

The nominator is the person making a nomination of a nominee.

To be eligible to submit a nomination for a science prize, a nominator must:

- not be related to the nominee
- be personally knowledgeable of the nominated achievement and be able to offer informed opinions about its worth
- hold professional qualifications in the same, or a closely related field as the nominee
- ensure the nominee is willing to be nominated and provide evidence necessary to support the nomination.

We encourage nominators to work with support mechanisms available within their own organisations, such as research offices, to assist with developing high quality and competitive nominations.

If nominating a team, the nominator need only submit one nomination to address the work of all team members.

A person can be a nominator for more than one nominee.

A nominator cannot also be a supporter or an independent referee for the same nomination and a nominee cannot support their own nomination.

4.5. Who is eligible to be a supporter?

A supporter is an individual identified by the nominator as someone who will support the nomination. A supporter cannot also be a nominator or an independent referee for the same nomination.

To be eligible to support a nomination for a science prize, a supporter must:

- not be related to the nominee
- be personally knowledgeable of the nominated achievement and be able to offer informed opinions about its worth.

At least one supporter must be working for a different organisation to the nominator and the nominee.

Supporter details are collected in Stage 1. Should the nominee be successful in progressing to Stage 2, only in exceptional circumstances (see glossary) will the Program Delegate agree to a nominator request to change a supporter at Stage 2.

4.6. Who is eligible to be an independent referee?

An independent referee is an individual identified by the nominator or the Science Prizes Committee as someone who will verify the nomination. An independent referee cannot be a nominator or supporter for the same nomination.

The independent referee's role is to review the statement of claims against the assessment criteria provided by the nominator and the supporter when contacted by the department.

To be eligible to be an independent referee for a science prize, the independent referee must:

 not be related to the nominee or have a professional relationship that would cause a conflict of interest that may unnecessarily bias the independence of the referee.

The nominator must contact independent referees to gain their commitment to provide a referee report on the template provided by the department before submitting their details at Stage 1. Only in exceptional circumstances (see glossary) will the Program Delegate agree to a nominator request to change an independent referee at Stage 2.

5. The assessment criteria

The nominator must address all assessment criteria in the nomination. All assessment criteria are of equal weighting. Nominations must score a minimum of 50 per cent against each of the assessment criteria to proceed to Stage 2.

The nomination form asks questions that relate to the assessment criteria below. The nomination form displays character limits for each response, including spaces and special characters.

In Stage 1, the nominator will need to address the assessment criteria relevant to the prize they have selected for their nominee. Each criterion is worth 10 points and has a strict limit of 1,500 characters, including spaces and special characters.

In Stage 2, more detailed responses against the assessment criteria are required. The nominator is required to respond to each of the relevant assessment criteria with a strict limit of 4,500 characters, including spaces and special characters. The nominator will also need to attach supporter statements that independently address the assessment criteria with a strict limit of 6,000 characters including spaces and special characters, using the template provided on business.gov.au.

We will assess responses to the assessment criteria at both stages of the nomination process. The Science Prizes Committee will assess eligible nominations against the assessment criteria for each prize and compare them to other eligible nominations for that prize.

5.1. Prime Minister's Prize for Science Assessment Criteria

5.1.1 Assessment criterion 1

Demonstrated original and in-depth research effort (10 points)

Demonstrate this by identifying the originality and depth of the research effort involved in the nominated achievement.

For team nominations also describe:

- each member's contribution
- the benefits of the collaboration
- why the prize should be jointly awarded.

5.1.2 Assessment criterion 2

Demonstrated impact (10 points)

Demonstrate this by identifying the achievement's impact on its field of science and, where appropriate, more broadly.

5.2. Prime Minister's Prize for Innovation Assessment Criteria

For this prize, nominees are required to address different assessment criteria at each stage.

5.2.1 Stage 1 Assessment Criteria

5.2.1.1 Assessment criterion 1

Demonstrated commercialisation (10 points)

Demonstrate commercialisation by identifying the key research and development efforts involved in innovatively translating scientific knowledge into a commercially available product, process or

service that has had substantial economic, social and, where relevant, environmental benefits to Australia.

For team nominations also describe:

- each member's contribution
- the benefits of the collaboration
- why the prize should be jointly awarded.

5.2.1.2 Assessment criterion 2

Demonstrated value and benefit to Australia (10 points)

Demonstrate this by identifying the direct and indirect benefits of the innovation to Australia and its public good value.

5.2.2 Stage 2 Assessment Criteria

5.2.2.1 Assessment criterion 1 (10 points)

Demonstrated commercialisation through innovation

Demonstrate this by identifying:

- a. the key research and development efforts involved in innovatively translating scientific knowledge into a commercially available product, process or service that has had substantial economic, social and, where relevant, environmental benefits to Australia.
- b. the research and innovation pathway to the final product, process or service and its successful commercialisation, including:
 - the roles and contributions of those involved
 - any patents granted or applied for.

For team nominations also describe:

- each member's contribution to the development of the innovation and its commercialisation
- the benefits of the collaboration
- why the prize should be awarded jointly.

5.2.2.2 Assessment criterion 2 (10 points)

Demonstrated value and benefit to Australia

Demonstrate this by identifying:

- a. the direct and indirect economic, social and, where relevant, environmental benefits of commercialisation to Australia, such as:
 - capital investment and employment outcomes for Australia
 - the value of sales
 - tax revenues
 - royalty streams to the host research organisation
 - new partnerships and synergies
 - the value of its public good.
- b. other benefits of the innovation process such as:

- pathways for further research and development on both the commercialised product, process or service and other potential outcomes
- the number of PhD students involved in the research programs
- any unexpected outcomes realised through the innovation and product, process or service development processes.

5.3. Malcolm McIntosh Prize for Physical Scientist of the Year and Frank Fenner Prize for Life Scientist of the Year Assessment Criteria

5.3.1 Assessment criterion 1

Nature of the research achievement (10 points)

Demonstrate this by identifying the originality and impact of the achievement within its field of science.

5.3.2 Assessment criterion 2

Benefit, or potential for benefit, to human welfare or society (10 points)

Demonstrate this by identifying the application of the research achievement, particularly to Australia.

5.4. Prize for New Innovators Assessment Criteria

5.4.1 Assessment criterion 1

Nature of the innovation achievement (10 points)

For this prize, demonstrate the commercial or translational viability of the innovation, including any progress towards commercialisation or integration into practice.

This can be demonstrated by identifying the research and development and innovation involved in translating scientific knowledge into a commercial product, process or service including:

- the pathway leading to the development and commercialisation or translation of the product, process or service
- the role of the nominee in the process
- whether any patents were granted or applied for
- the originality and impact of the achievement within its field of science.

We will consider the innovation, underlying research and trial pathway equally important.

5.4.2 Assessment criterion 2

Value and benefit to Australia (10 points)

Demonstrate this by identifying the application of the innovation and its benefits, including substantial progress towards commercialisation or translation and any direct and indirect economic, social and environmental benefits.

6. How to Nominate

Nominators should read and understand these guidelines before making a nomination.

Nominators should read all eligibility and assessment criteria closely and attach detailed evidence that supports the assessment criteria.

Nominators can only submit a nomination while nominations remain open.

Nominators must ensure the nominee:

- is willing to be a nominee
- will provide the evidence necessary to support the nomination; and
- understands the obligations that come with the prize.

Nominations follow a two-stage process. If the nomination is shortlisted, nominators will be invited to submit an online nomination form for Stage 2.

Nominators will need to set up an account to access our online portal.

Nominators must:

- complete and submit the nomination through the online portal
- provide all the information requested
- address all eligibility and assessment criteria
- include all necessary attachments.

The nominator is responsible for making sure the nomination is complete and accurate. Giving false or misleading information is a serious offence under the <u>Criminal Code Act 1995</u>. If we consider that the nominator has provided false or misleading information we may not progress the nomination. If the nominator finds an error in the nomination after submitting it, the nominator should call us immediately on 13 28 46.

After submitting the nomination, we may contact the nominator for clarification if we find an error or any missing information, including evidence that supports eligibility/merit. The acceptance of any additional information provided after the submission of the nomination is at the discretion of the Program Delegate. Additional information should not materially change the nomination at the time it was submitted and therefore may be refused if deemed to be purely supplementary.

The selection of recipients reflects the nominations received and information available to the department and the Minister for the Australian Government's science portfolio. The department may exclude a nomination from consideration based on the information available. The Minister reserves the right to not award a prize or withdraw a prize, if the nominee/recipient's conduct may bring, or has brought, the Prime Minister's Prizes, the department or the Australian Government into disrepute.

Nominators can view and print a copy of the submitted nomination on the portal for their own records. Nominators should keep a copy of the nomination and any supporting documents.

If further guidance around the nomination process is required, or if there are any issues with the portal, <u>contact us</u> at business.gov.au or by calling 13 28 46.

6.1. Submitting a Stage 1 nomination

For a Stage 1 nomination, nominators must:

- complete the online Stage 1 nomination form via our online portal
- address all eligibility and assessment criteria.

provide all the information requested including:

- the contact details of two supporters
- the contact details of two independent referees. The nominator must contact independent referees to gain their commitment to provide a referee report on the template provided by the

department before submitting their details. One independent referee must be based overseas for Prime Minister's Prize for Science and Prime Minister's Prize for Innovation

provide all necessary attachments, as outlined in <u>section 6.3.1</u>.

6.2. Submitting a Stage 2 nomination

For a Stage 2 nomination, nominators must:

- be invited to participate in Stage 2 of the nomination process
- complete the online Stage 2 nomination on our online portal
- address all eligibility and assessment criteria
- provide all the information requested
- provide all the necessary attachments, as outlined in <u>section 6.3.2.</u>

6.3. Attachments to the nomination

The nominator must attach supporting documentation to the nomination form in line with the instructions provided within the form. The nominator should only attach requested documents. Individual file sizes cannot be greater than 2MB, while the total of all attachments cannot exceed 20MB.

We will not consider information in attachments that we do not request or that exceed character limits.

When submitting PDFs they must be OCR compatible, as outlined in the glossary.

6.3.1 Stage 1 attachments

We require the following documents with the nomination:

a current two page CV for each nominee. A template is provided on <u>business.gov.au</u>.

The CV should include reference to any patents and to four key articles or publications that relate most directly to the work referenced in the nomination. Where the nominee is a joint author, the citation should note if the nominee was the principal author or not. If the nomination is for a team, at least two of the team members, including the team leader, must be joint authors of at least three of the publications.

6.3.2 Stage 2 attachments

We require the following documents with the nomination:

- certified evidence of the nominee's Australian citizenship or permanent resident status
- supporter statements that independently address the assessment criteria (up to 6,000 characters including spaces and special characters each). A template is provided on business.gov.au when Stage 2 nominations open
- an official document that shows date of completion for the nominee's relevant qualification (for Frank Fenner, Malcolm McIntosh and Prize for New Innovators only)
- links to up to four peer reviewed publications or patents that best describe the nominee's achievement. If the nominator includes a review paper, the review should focus on the nominee's achievement, rather than the broad research field. The nominator must attach publications or patents where they are not freely available online.
- a list of published articles and/or books directly relevant to the nominee's achievement. Where
 the nominee is a joint author, the citation should note if the nominee was the principal author

or not. If the application is for a team, the papers must be co-authored by the majority of the team

a completed declaration form from the nominee stating, amongst other things, that they have not, and will not, take actions that bring the Prime Minister's Prizes for Science, the department or the Australian Government into disrepute. A declaration form template is provided on business.gov.au when Stage 2 nominations open. At <u>section 8.3</u>. further information is provided regarding obligations of the prize recipient.

For documents requiring certification, refer to the <u>glossary</u> for a list of persons able to certify copies of original documents.

6.4. Timing of grant opportunity processes

The nominator can only submit a nomination between the published opening and closing dates. We cannot accept late nominations.

Table 1: Expected timing for this grant opportunity

Activity	Timeframe
Stage 1 Nominations Open	10 weeks
Assessment of Stage 1 Nominations	9 weeks
Stage 2 Nominations Open	3 weeks
Assessment of Stage 2 Nominations	11 weeks

6.5. Questions during the nomination process

If the nominator has any questions during the nomination period, <u>contact us</u> at business.gov.au or by calling 13 28 46.

7. The selection process

7.1. Assessment of grant nominations

We first review nominations against the eligibility criteria. Only eligible nominations will proceed to the assessment stage.

We may undertake due diligence processes when assessing eligibility and merit.

We refer nominations to the Science Prizes Committee, an independent committee of experts, which may include members of relevant industry associations, industry stakeholders or subject matter experts.

The Science Prizes Committee will be required to perform their duties in accordance with the CGRPs.

We consider the nomination on its merits, based on:

- how well it meets the criteria
- how it compares to other nominations
- consideration of the nominee's achievement in the context of opportunity and experience, including any career interruptions and other relevant circumstances.

The Science Prizes Committee may re-assign nominations between the Science Prizes, subject to meeting eligibility criteria and confirmation from the Program Delegate.

The Science Prizes Committee will be gender balanced, consistent with the gender balance targets for Australian Government boards. The Science Prizes Committee must undertake compulsory implicit bias training before reviewing nominations. The Science Prizes Committee may also seek additional advice from independent technical experts.

If the selection process identifies unintentional errors in the nomination, we may contact the nominator to correct or clarify the errors, but the nominator cannot make any material alteration or addition.

If any statement made in a nomination, including in the nominee's declaration, is incorrect, incomplete, false or misleading the department may take appropriate action, including excluding a nomination from further consideration.

Due diligence checks may occur at eligibility, merit or decision-making stages. We use this information to verify the information the nominator provides in the nomination and to identify issues and risks. See Section 10.3.for information on how we use the information provided to us.

7.1.1 Stage 1 Assessment

In Stage 1 the Science Prizes Committee will assess eligible nominations against the assessment criteria for each prize and compare them to other eligible nominations.

To be recommended for Stage 2, nominations must score a minimum of 50 per cent against each of the assessment criteria and be competitively ranked. Only the highest-ranking nominations will be invited to Stage 2.

7.1.2. Stage 2 Assessment

If successful in Stage 1, we will invite the nominator and two supporters to prepare a more detailed nomination addressing the assessment criteria for Stage 2. For the Prime Minister's Prize for Innovation, nominees are required to address different criteria at each stage.

We refer the nominator and supporters' Stage 2 statements to two independent referees with relevant expertise, who have agreed to provide their informed opinions on the claims made in the nomination.

In Stage 2, the Science Prizes Committee will assess eligible nominations for the shortlisted nominees against the assessment criteria, compare them to other eligible Stage 2 nominations and recommend prize recipients. The Science Prizes Committee will only recommend one individual or one team for each Science Prize category.

For a nominee to be recommended for a Science Prize, the nomination must score highly (50 percent or greater), against all assessment criteria. We will not award a prize in that year if the Science Prizes Committee does not consider any of the nominations sufficiently meritorious.

7.2. Who will approve prize recipients?

The Minister for the Australian Government's science portfolio will make the final decision on the prize recipients, taking into account the recommendations of the Science Prizes Committee and the availability of grant funds.

The Minister's decision is final in all matters, including:

- the approval of prize recipients
- the prize money to be awarded.

We cannot review decisions about the merits of the nomination.

8. Notification of prize outcomes

We will advise the outcome of nominations in writing following the completion of each stage.

8.1. Feedback on the nomination

If the nomination is unsuccessful, we will provide an opportunity to discuss the outcome with us.

8.2. How prizes will be awarded

We will notify the prize recipients in writing and invite them to receive their awards at the Prime Minister's Prizes for Science awards event. This information is under embargo until we publicly announce the recipients of the Prime Minister's Prizes for Science at the awards event. This means prize recipients may not make any announcements in advance of the awards event.

We deposit the prize money into the recipients' accounts shortly after the awards event, following receipt of bank account details.

8.3. Obligations of prize recipients

In the lead up to the awards event, we will contact prize recipients regarding preparations for the event. Prize recipients must handle this information confidentially, and the Minister may withdraw a prize if the recipient breaches that confidence.

Following the awards event, prize recipients may be asked to assist in publicly promoting the teaching and learning of science as important foundations for Australia's continuing economic, social and environmental wellbeing. Prize recipients should be prepared to undertake a small number of public engagements.

We may invite prize recipients to present at official events or participate in public events such as National Science Week. Subject to the availability of funds, we may contribute to the travel and accommodation costs of attending an event (to the limit of government standard rates), where these are not covered by event organisers. We will consider requests to meet costs on a case-by-case basis, based on the benefits and value-for-money offered by the event. Participation at events will be subject to any public health restrictions in place at the time.

We encourage prize recipients to inform us of any invitations to attend events. We also ask prize recipients to notify us if they attended an event.

Where a prize recipient decides to use their share of the prize to benefit others (for example through the creation of a scholarship or enhancement of a science education program), the recipient is requested to notify us of this decision and its outcomes.

Prize recipients must not take any actions that will bring the Prime Minister's Prizes for Science, the department or the Australian Government into disrepute. All nominations that proceed to Stage 2 are required to include a declaration form from the nominee stating, amongst other things, that they have not, and will not, take actions that bring the Prime Minister's Prizes for Science, the department or the Australian Government into disrepute. The glossary provides a definition of disrepute.

If any statement made in a nomination, including in the nominee's declaration, is incorrect, incomplete, false or misleading; or the prize recipient has behaved in a manner that has brought, or may bring, disrepute on the Prizes, the department or the Australian Government, the Minister may, in the Minister's absolute discretion, take appropriate action.

Such action may include:

withdrawing an offer, or revoking a Prize

- excluding the nominee or prize recipient from future involvement in the Prizes, including from attendance at Prizes events
- recovery of Prize monies already paid
- using the information contained in the nomination for a fraud investigation that would be consistent with the Australian Government's Investigations Standards and Commonwealth Fraud Control Framework.

8.4. Tax obligations

The cash prizes awarded as part of the Prime Minister's Prizes for Science are not assessable as income under either section 6-5 or section 10-5 of the *Income Tax Assessment Act 1997*, or under paragraph 26(e) of the *Income Tax Assessment Act 1936*.

8.5. Use of materials

Material created by the Commonwealth for the Prime Minister's Prizes for Science, such as media statements, interviews, videos and photographs, are the property of the Commonwealth and may be used or disclosed by us in the promotion of science, innovation or science education programs.

9. Announcement of grants

We will publish non-sensitive details of successful nominations on GrantConnect. We are required to do this by the <u>Commonwealth Grants Rules and Principles</u> unless otherwise prohibited by law. We may also publish this information on business.gov.au.

10. Probity

We will make sure that the grant opportunity process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the CGRPs.

These guidelines may be changed from time-to-time by DISR. When this happens, the revised guidelines will be published on GrantConnect.

10.1. Enquiries and feedback

For further information or clarification, you can contact us on 13 28 46 or by <u>web chat</u> or through our <u>online enquiry form</u> on business.gov.au.

We may publish answers to your questions on our website as Frequently Asked Questions.

Our <u>Customer Service Charter</u> is available at business.gov.au. We use customer satisfaction surveys to improve our business operations and service.

If you have a complaint, call us on 13 28 46. We will refer your complaint to the appropriate manager.

If you are not satisfied with the way we handle your complaint, you can contact:

Head of Division
Business Grants Hub
Department of Industry, Science and Resources
GPO Box 2013
CANBERRA ACT 2601

You can also contact the <u>Commonwealth Ombudsman</u>¹ with your complaint (call 1300 362 072). There is no fee for making a complaint, and the Ombudsman may conduct an independent investigation.

10.2. Conflicts of interest

Any conflicts of interest could affect the performance of the program. There may be a conflict of interest, or perceived conflict of interest, if our staff, any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the nomination selection process, such as an Australian Government officer or member of an external panel
- has a relationship with or interest in, a party which is likely to interfere with or restrict the nominations from carrying out the proposed activities fairly and independently or otherwise compromise the integrity of the activity or its participants
- has a relationship with, or interest in, a party from which they could receive personal gain because the organisation receives a prize.

As part of the nomination, we will ask you to declare any perceived or existing conflicts of interests or confirm that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent, or perceived conflict of interest, you must inform us in writing immediately.

Conflicts of interest for Australian Government staff are handled as set out in the Australian <u>Public Service Code of Conduct (Section 13(7))</u> of the <u>Public Service Act 1999</u>. Committee members and other officials including the decision maker must also declare any conflicts of interest.

We publish our <u>conflict of interest policy</u>² on the department's website. The Commonwealth policy entity also publishes a conflict of interest policy on its website.

10.3. Privacy

Unless the information you provide to us is:

- confidential information as per 13.4, or
- personal information as per below,

we may share the information with other government agencies for a relevant Commonwealth purpose such as:

- to improve the effective administration, monitoring and evaluation of Australian Government programs
- for research
- to announce the awarding of grants.

We must treat your personal information according to the <u>Australian Privacy Principles (APPs)</u> and the <u>Privacy Act 1988 (Cth)</u>. This includes letting you know:

- what personal information we collect
- why we collect your personal information

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¹ http://www.ombudsman.gov.au/

² https://www.industry.gov.au/publications/conflict-interest-policy

to whom we give your personal information.

We may give the personal information we collect from you to our employees and contractors, the committee, and other Commonwealth employees and contractors, so we can:

- manage the program
- research, assess, monitor and analyse our programs and activities
- identify and manage any financial, legal/regulatory, governance, national interest, or national security risks.

We, or the Minister, may:

- announce the names of successful nominees to the public
- publish personal information on the department's websites.
- You may read our Privacy Policy3 on the department's website for more information on:
- what is personal information
- how we collect, use, disclose and store your personal information
- how you can access and correct your personal information.

10.4. Confidential information

Other than information available in the public domain, you agree not to disclose to any person, other than us, any confidential information relating to the nomination and/or the outcome of the nomination, without our prior written approval. Further information on what is confidential and how it should be treated will be included in correspondence to relevant nominees following assessment of their nomination. The obligation will not be breached where you are required by law, Parliament or a stock exchange to disclose the relevant information or where the relevant information is publicly available (other than through breach of a confidentiality or non-disclosure obligation).

We may at any time, require you to arrange for you; or your employees, agents or subcontractors to give a written undertaking relating to nondisclosure of our confidential information in a form we consider acceptable.

We will treat the information you give us as sensitive and therefore confidential if it meets all of the following conditions:

you clearly identify the information as confidential and explain why we should treat it as confidential the information is commercially sensitive

disclosing the information would cause unreasonable harm to you or someone else you provide the information with an understanding that it will stay confidential.

We may disclose confidential information:

- to the Science Prize Committee and our Commonwealth employees, contractors and service providers, to help us manage the program effectively
- to the Auditor-General, Ombudsman or Privacy Commissioner
- to the Prime Minister, the responsible Minister or Assistant Minister
- to a House or a Committee of the Australian Parliament
- to other Commonwealth agencies for risk management purposes.

We may also disclose confidential information if:

³ https://www.industry.gov.au/data-and-publications/privacy-policy

- we are required or authorised by law to disclose it
- you agree to the information being disclosed, or
- someone other than us has made the confidential information public.

10.5. Freedom of information

All documents in the possession of the Australian Government, including those about the program, are subject to the <u>Freedom of Information Act 1982 (Cth)</u> (FOI Act).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

If someone requests a document under the FOI Act, we will release it (though we may need to consult with you and/or other parties first) unless it meets one of the exemptions set out in the FOI Act.

10.6. National security

As part of our due diligence process, we will consider whether the nominee has affiliations or associations with any foreign entities, which may be contrary to Australia's sovereignty, values and national interests. This means any affiliation or association between the nominee and foreign entities must be open and transparent.

Accordingly, any association or affiliation the nominee has with foreign governments, foreign organisations, foreign institutions or companies, or membership of foreign government talent programs, must be disclosed by the nominee in their declaration form.

10.7. Disclosure of Commonwealth, state or territory financial penalties

In their declaration form, nominees must disclose whether they have been subject to any pecuniary penalty, whether civil, criminal or administrative, imposed by a Commonwealth, State, or Territory court or a Commonwealth, State, or Territory entity. If this is the case, nominees must provide advice to the department regarding the matter for consideration.

11. Glossary

Term	Definition
administering entity	When an entity that is not responsible for the policy, is responsible for the administration of part or all of the grant administration processes.
assessment criteria	The specified principles or standards, against which nominees will be judged. These criteria are also used to assess the merits of proposals and, in the case of a competitive grant opportunity, to determine nominees ranking.
authorised certifiers	Mandatory Stage 2 attachments requiring certification (Section 6.3.2) can be certified (confirmed) as a true copy of the original document by one of the following authorised certifiers including:
	Australia Post Office
	Pharmacist
	Medical Practitioner
	Dentist
	 Optometrist
	Judge
	Justice of the Peace
	Barrister or Solicitor
	Police officer
	 Bank, building society or credit union officer with at least five years of service.
	An approved certifier should never witness documents if:
	 it could create a real or perceived conflict of interest the documents have a connection with matters they have an actual or perceived personal or financial interest in.
career interruptions	What constitutes a career interruption is not limited to a fixed set of circumstances and could include:
	 caring and parental responsibilities disaster management and recovery limited or no access to facilities and resources medical conditions/disability/misadventure non-research employment unemployment community obligations, including Aboriginal and/or Torres Strait Islander cultural practices and protocols; or any other circumstances which have resulted in a break in research employment.

Term	Definition
Commonwealth Grants Rules and Principles (CGRPs)	Establish the overarching Commonwealth grants policy framework and articulate the expectations for all non-corporate Commonwealth entities in relation to grants administration. Under this overarching framework, non-corporate Commonwealth entities undertake grants administration based on the mandatory requirements and key principles of grants administration.
date of completion	The date on which the academic requirements for a qualification have been completed and the nominee is eligible for graduation. Date of completion can only occur after all grades in a program of study have been finalised.
collaboration	A joint participation on the same research project to which all team members have contributed leading to a specified research or research commercialisation achievement.
department	The Department of Industry, Science and Resources.
decision maker	Minister for the Australian Government's science portfolio.
disrepute	Any conduct, omission, statement, or appearance that may:
	 damage the reputation of the Prizes, the department, or the Australian Government; or reduce public confidence in the Prizes, the department, or the Australian Government. Conduct, omissions, statements or appearances that may give rise to disrepute could include: where technology developed by the nominee, or use of that technology, is found to create unacceptable risks that have not been communicated to users of the technology; conduct that may give rise to criminal or civil liability; conduct, omissions, statements or appearances by the nominator or nominee that are dishonest, or could reasonably be perceived as dishonest; or actions or omissions of a person, company or organisation associated with the nominee that might give rise to disrepute, where the nominee reasonably ought to have taken steps to prevent that action or omission.

Term	Definition
diverse backgrounds	These groups may include but are not limited to: First Nations peoples culturally and linguistically diverse (CALD) people women and gender diverse people LGBTQIA+ people people living in regional and remote areas people living with disabilities people from low socio-economic backgrounds neurodivergent people people who face age-based discrimination.
eligibility criteria	The mandatory criteria which must be met to qualify for a prize. Assessment criteria may apply in addition to eligibility criteria.
eligible nomination	A nomination for a Science Prize that the Program Delegate has determined is eligible for assessment in accordance with these guidelines.
exceptional circumstances	Unexpected, unavoidable circumstances beyond a person's control that will negatively impact their ability to fulfill their commitments, for which there was no opportunity to prepare in advance including: - serious illness and/or injury - worsening of a chronic condition or disability - a death or serious illness/injury of a close family member - a severe disruption to domestic arrangements - being the victim of a crime - unforeseen community service such as jury service, disaster relief, military service. Circumstances unlikely to be considered exceptional include but are not limited to: - holidays, weddings or other celebrations - house moves or other events that were planned or are reasonably expected - minor illnesses - paid employment - administrative errors

Term	Definition
grant	For the purposes of the CGRPs, a 'grant' is an arrangement for the provision of financial assistance by the Commonwealth or on behalf of the Commonwealth:
	a. under which relevant money ⁴ or other <u>Consolidated Revenue Fund</u> (CRF) money ⁵ is to be paid to a grantee other than the Commonwealth; and
	 b. which is intended to help address one or more of the Australian Government's policy outcomes while assisting the grantee achieve its objectives.
GrantConnect	The Australian Government's whole-of-government grants information system, which centralises the publication and reporting of Commonwealth grants in accordance with the CGRPs.
guidelines	Guidelines that the Minister gives to the department to provide the framework for the administration of the program, as in force from time to time.
Minister	Minister for the Australian Government's science portfolio
nomination form	The document issued by the Program Delegate that nominators use nominate nominees for the Science Prizes under the program.
nominator	The person making a nomination of a nominee.
nominee	The individual or team being nominated for a Science Prize.
OCR (Optical Character Recognition)	Is a technology that recognizes text within a digital image. It is commonly used to recognize text in scanned documents and images. This enables your document to be searched and read by assistive technologies.
	A document that has been printed and scanned is generally not OCR compatible.

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 $^{^{\}rm 4}$ Relevant money is defined in the PGPA Act. See section 8, Dictionary.

 $^{^{5}}$ Other CRF money is defined in the PGPA Act. See section 105, Rules in relation to other CRF money.

Term	Definition
personal information	Has the same meaning as in the <i>Privacy Act 1988</i> (Cth) which is:
	Information or an opinion about an identified individual, or an individual who is reasonably identifiable:
	 a. whether the information or opinion is true or not; and b. whether the information or opinion is recorded in a material form or not.
prize money	The monetary prize made available by the Commonwealth of Australia to prize recipients under the program.
prize recipient	An individual or team that has been awarded a Science Prize.
professional qualifications	Qualifications attested by evidence of formal qualifications or professional experience and can include post-academic training or experience required for the right to practise.
Program Delegate	A Manager within the department with responsibility for administering the program.
program funding or program funds	The funding made available by the Commonwealth for the program.
referee	Someone identified by either the nominator or the Science Prizes Committee in addition to the supporters who will be approached for an independent reference on the achievement/s of the Nominee.
	The referee's role is to review the statement of claims against the assessment criteria provided by the nominator and the supporter when contacted by the department.
Science Prizes	Collectively refers to the five science and innovation prizes: Prime Minister's Prize for Science Prime Minister's Prize for Innovation Frank Fenner Prize for Life Scientist of the Year Malcolm McIntosh Prize for Physical Scientist of the Year Prize for New Innovators.
Science Prizes Committee	The body by the same name, including sub-committees, established by the Program Delegate to consider and assess eligible nominations and make recommendations to the Minister for prizes under the program.

Term	Definition
Science Teaching Prizes	 Refers to the two science teaching prizes: Prime Minister's Prize for Excellence in Science Teaching in Primary Schools Prime Minister's Prize for Excellence in Science Teaching in Secondary Schools.
selection criteria	Comprises of eligibility criteria and assessment criteria.
supporter	An individual identified by the nominator as someone who will support the nomination.
	The supporter's role is to provide independent views on the nominee's achievements against the assessment criteria.